

# Implementation of near-fault forward directivity effects in seismic design codes

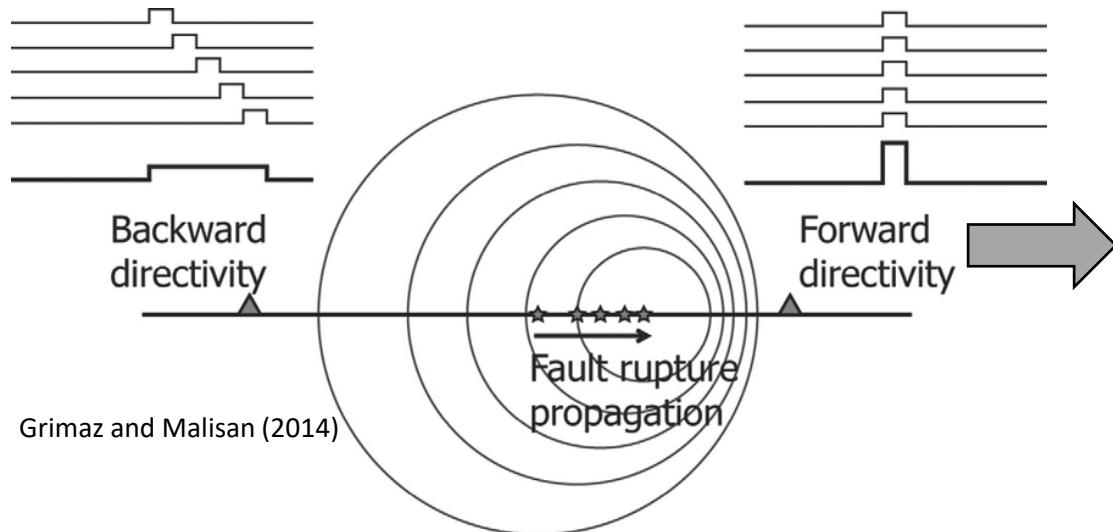
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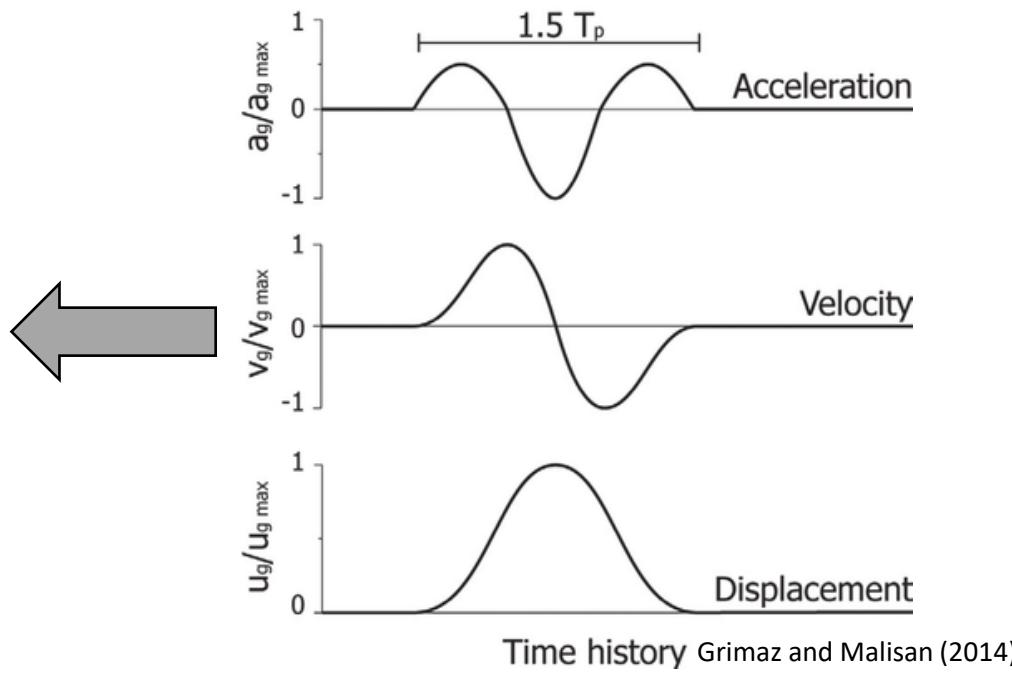
# Forward directivity



Grimaz and Malisan (2014)

A double-sided forward directivity velocity pulse increasing the amplitude of ground motions towards longer periods

The rupture front arising towards the site (forward directivity) leads to a distinct long-period and large amplitude velocity pulse that results in unexpected demands on structural systems



# Facts

- Studies on recorded near-fault ground motions and their effects on structures have started almost 50 years ago (e.g., Housner and Trifunac, 1967)
- In the last two decades, many seismological models have been developed to estimate ground-motion (spectral) amplitude demands due to near-fault effects (e.g., Sommerville et al. 1997)
- The near-fault effects can now be incorporated into PSHA (e.g., Shahi and Baker, 2011)
- However, complete consideration of near-fault effects (in particular forward directivity) by design codes is still limited (e.g., UBC, 1997)

# Objective

Consider the recently developed directivity models and integrate some of them to PSHA



Run case studies using an in-house PSHA code to see how magnitude, fault-site geometry, slip rate and return period effect the spectral amplitudes when directivity is prominent



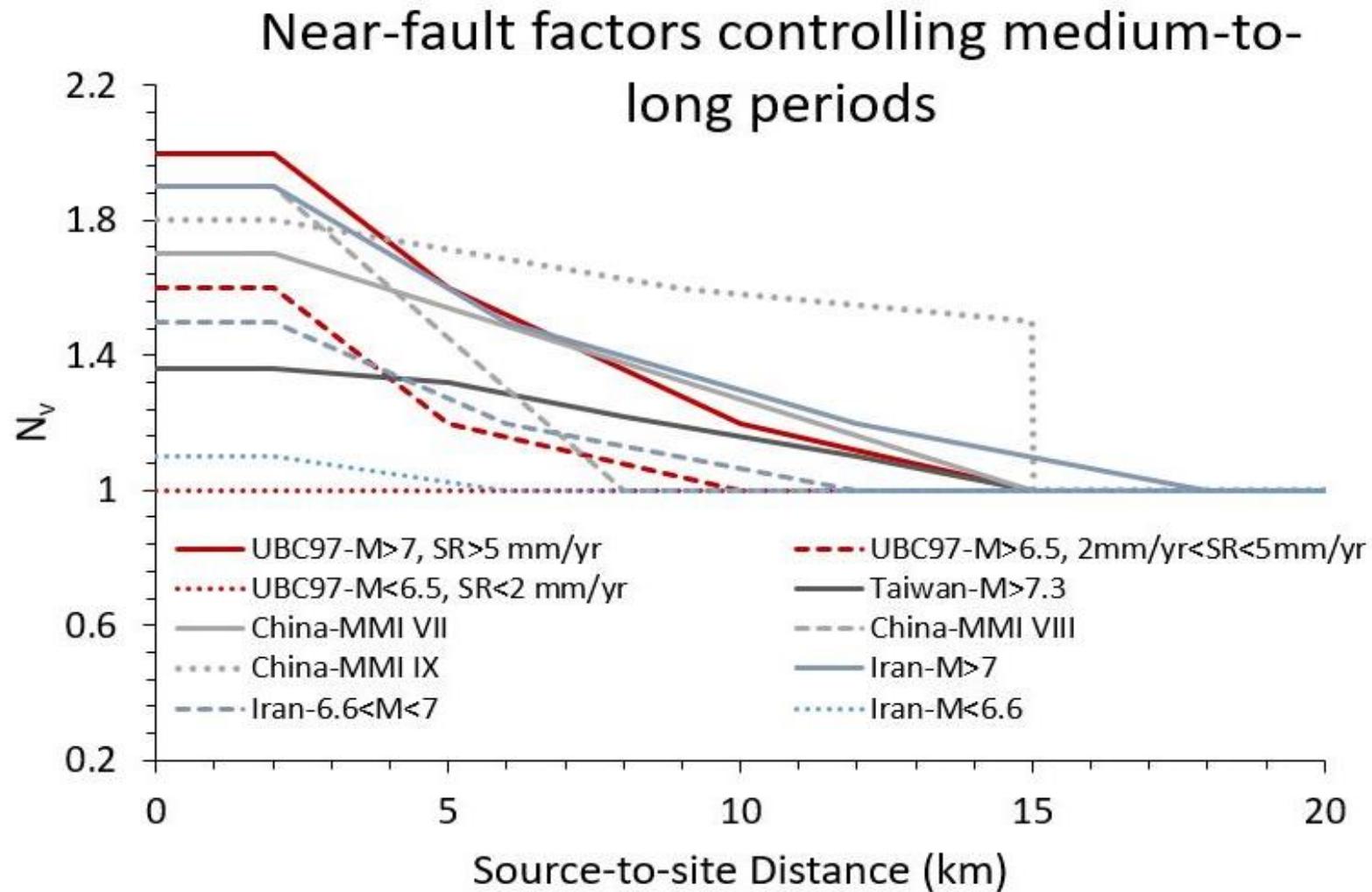
Simplify these observations as much as possible to extract some rules to incorporate near-fault forward directivity effects in design codes

# Outline

- Current code approaches
- Selected directivity models
- Important features of directivity models
- Rules for code implementation
- Observations
- Conclusions

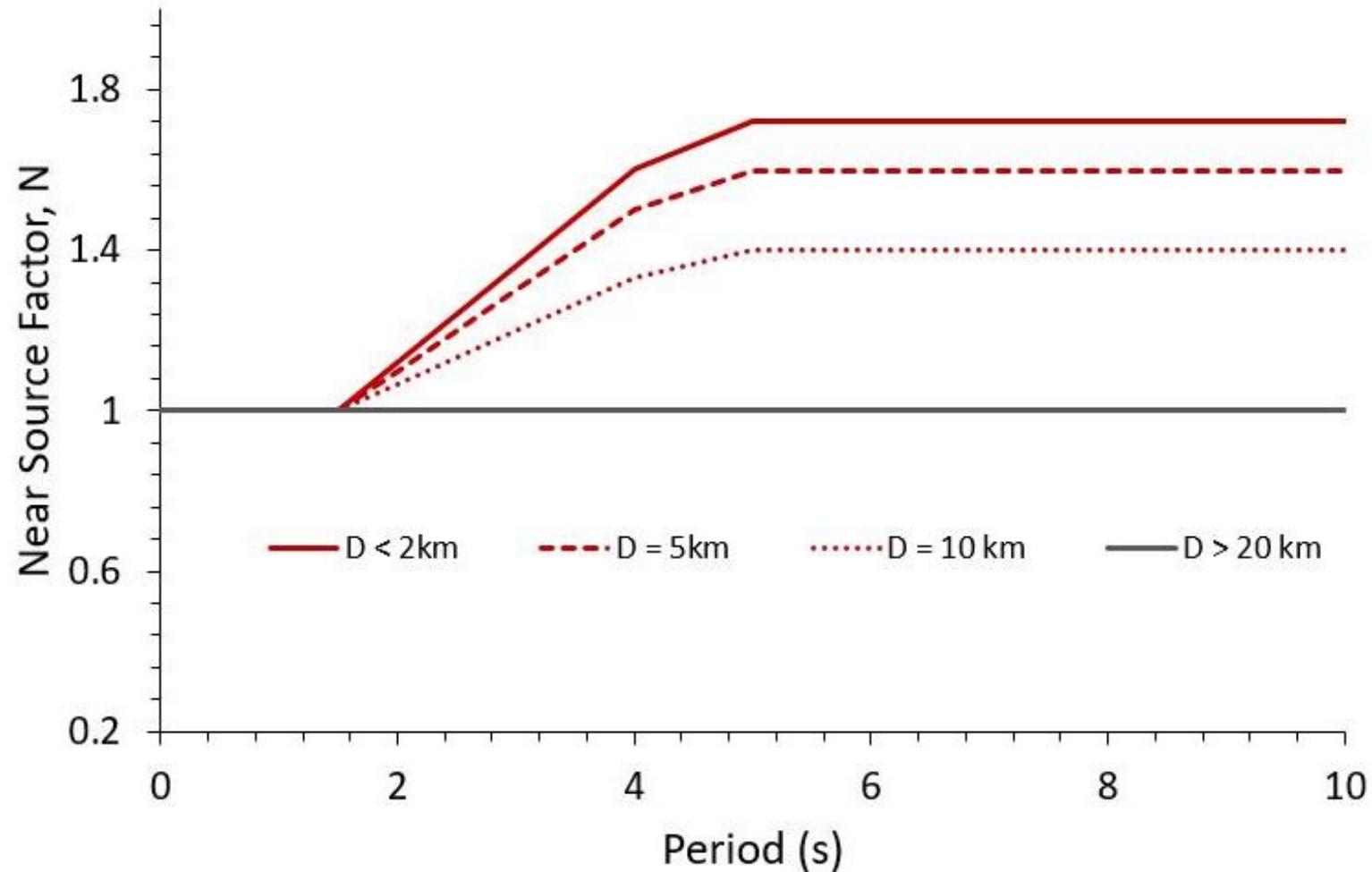
# Current Code Approaches

## (1/3)

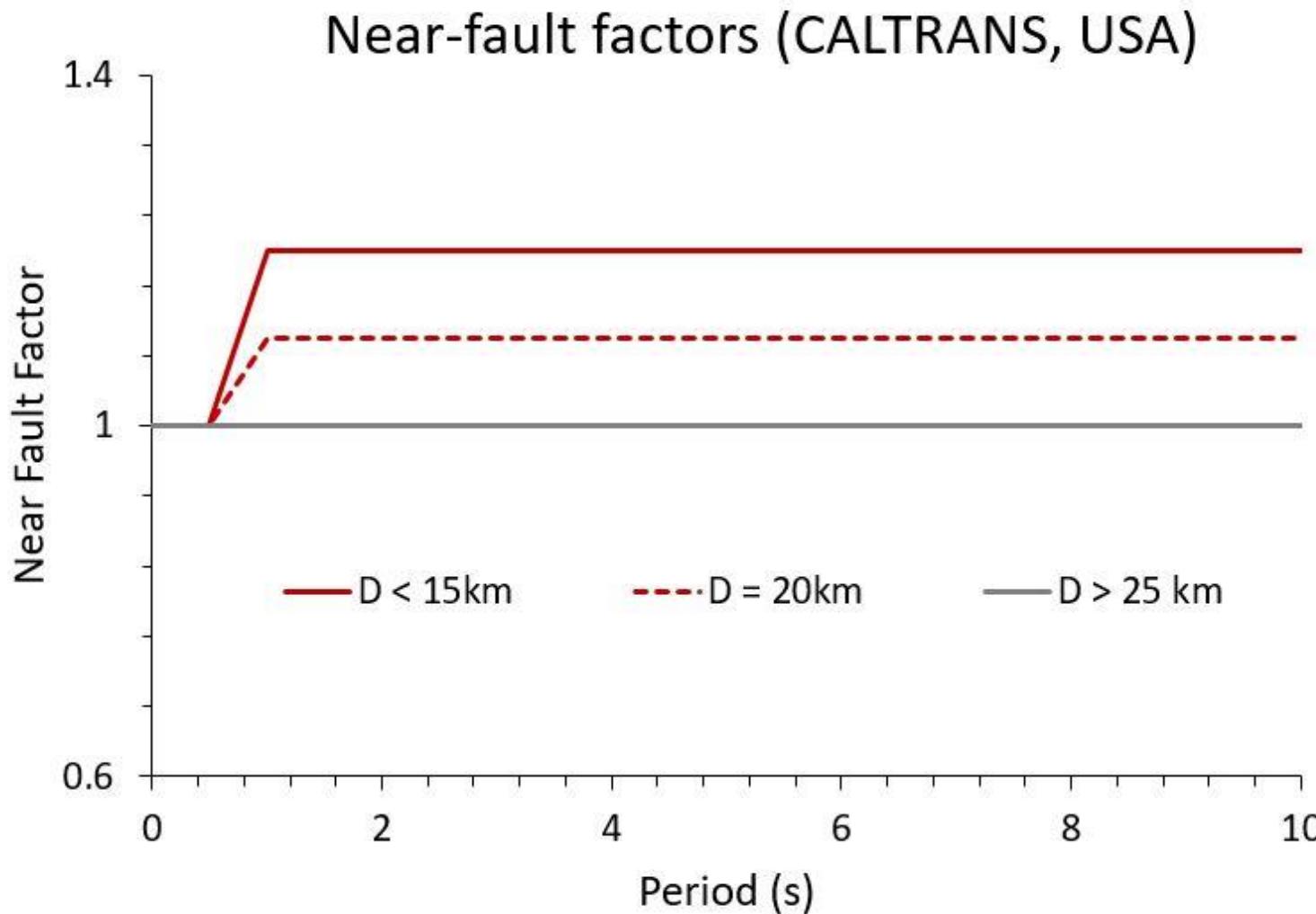


# Current Code Approaches (2/3)

Near-fault factors (New Zealand)



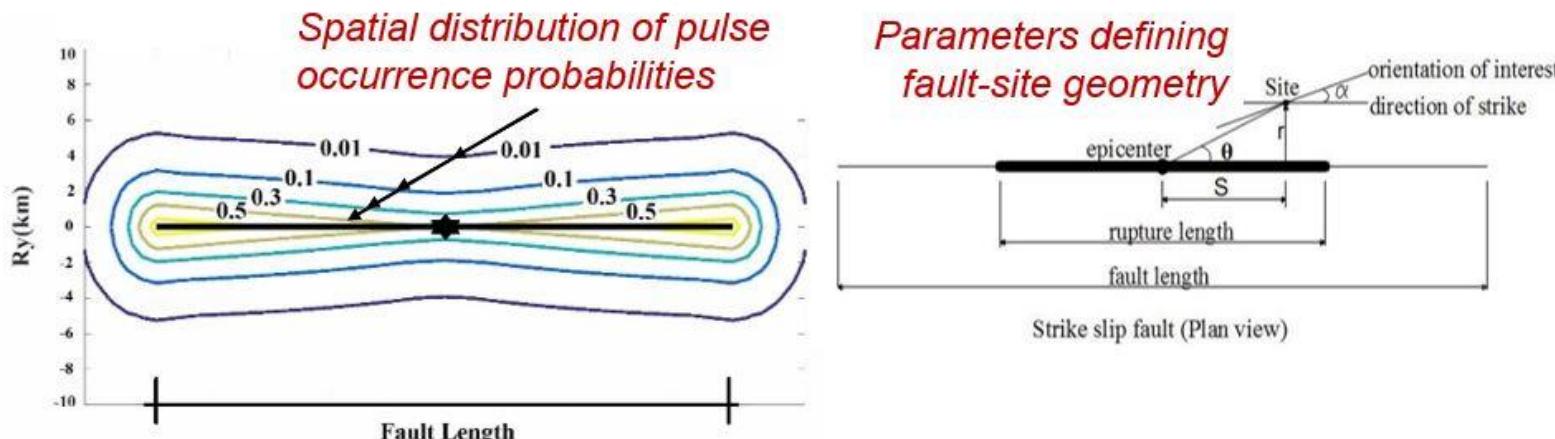
# Current Code Approaches (3/3)



# Directivity models considered (Shahi and Baker, 2011; SHB11)

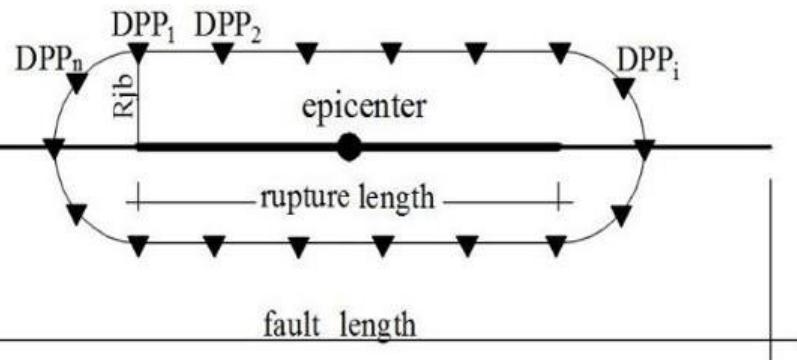
- A probabilistic seismic hazard model
- Uses a modified ground-motion predictive model (GMPM) to compute  $\text{Pr}(S_a > x)$  given an earthquake scenario magnitude  $m$ , distance to ruptured fault segment  $r$  and fault-site geometry  $z$ .

*SHB11 defines the probability of observing a pulse and its occurrence for a range of orientations (relative to fault strike,  $\alpha$ ) from fault-site geometry (larger pulse occurrence probability at fault edges)*



# Directivity models considered (Chiou and Spudich, 2013; CHS13)

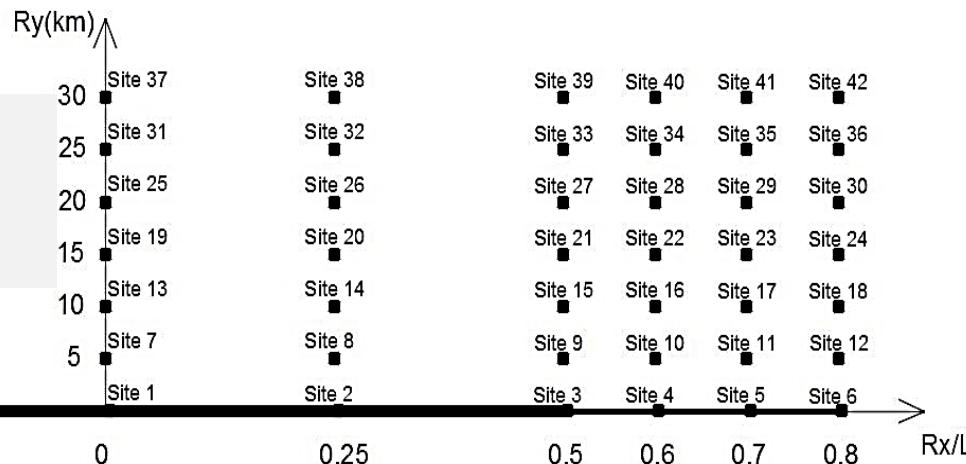
- The GMPM by Chiou and Youngs (CY14) uses DPP as the predictor of forward directivity effect.
- Given an earthquake scenario, CY14 centers DPP on its mean ( $\overline{DPP}$ ) over a suite of sites located at the same distance. The influence of forward directivity at a specific site  $i$  is determined by subtracting the ( $\overline{DPP}$ ) from  $DPP_i$  ( $\Delta DPP$ ).



Large  $\Delta DPP$  indicates stronger forward directivity effects. When  $\Delta DPP$  is zero, the site of interest is not dominated by the pulselike waveforms due to directivity.

# PSHA calculations

$V_{S30} = 760$  m/s  
and strike-slip  
fault.



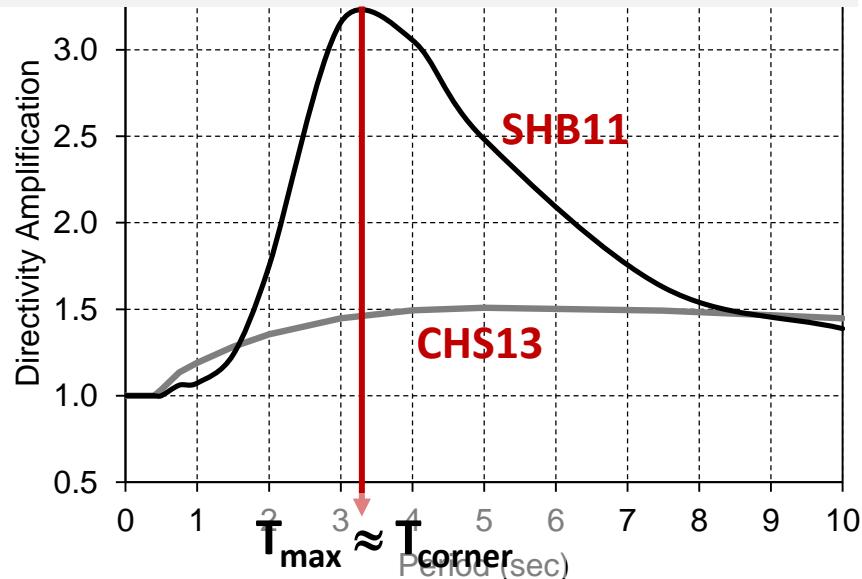
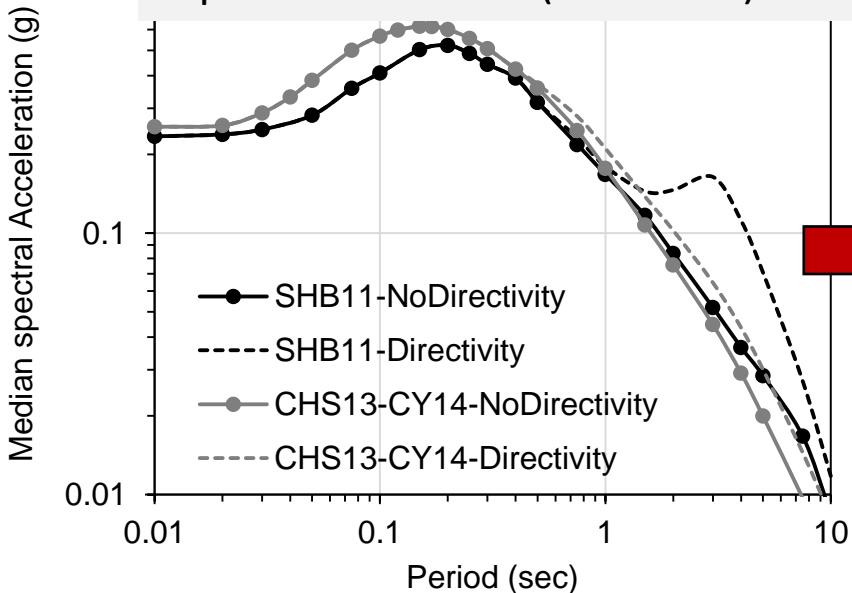
SHB11: Geomean (GMRotI50) to fault-normal  
horizontal component (when directivity is dominant)  
CHS13: RotD50 to directivity dominated RotD50

- Suite of PSHA analysis using the site layout given on the left for
  - Slip rates (SR) equal to 0.5 cm/yr, 1.0 cm/yr and 2.0 cm/yr
  - Return periods (RP) equal to 475 yr and 2475 yr
  - Characteristic fault magnitudes ( $M_{ch}$ ) equal to 6.25, 6.7, 7.0, 7.2 and 7.5

Directivity Amp. : 
$$\frac{\text{Fault Normal (directivity)}}{\text{Geomean (no directivity)}} \text{ or } \frac{\text{RotD50 (directivity)}}{\text{RotD50 (no directivity)}}$$

# Important Model Features (1/2)

Deterministic case study: A strike-slip earthquake of  $M_w$  7.1. The site is at the far end of the fault, 5 km to the ruptured segment. The hypocenter is at the center of the ruptured fault area ( $w = 10\text{km}$ ).

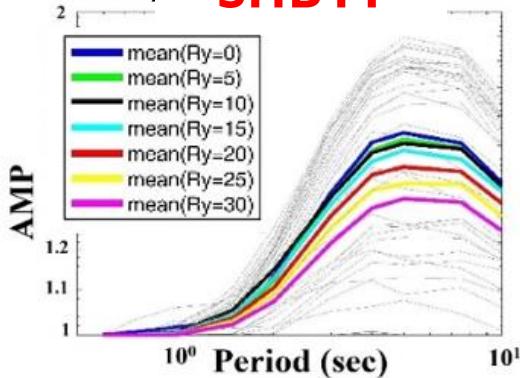


- SHB11 marks the pulse influence more and its directivity amplifications are higher because the directivity dominant spectral amplitudes are estimated for fault-normal component. CHS13 estimates directivity dominant spectral amplitudes for RotD50.
- The directivity amplitudes become maximum approximately at the same period ( $T_{corner}$  for CHS13 and  $T_{max}$  for SHB11).

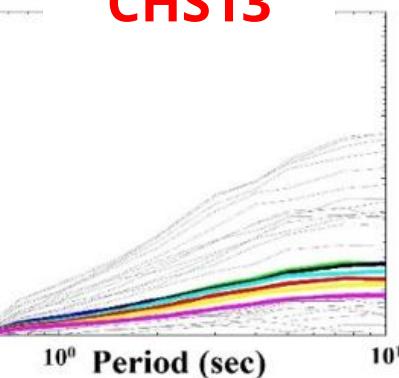
# Important Model Features (2/2)

2475-year return period directivity amplifications for a strike-slip fault of  $s = 2.0$  cm/year) that is capable of producing characteristic earthquakes of  $M_{ch}$  7.3 (i.e.,  $L = 300\text{km}$ )

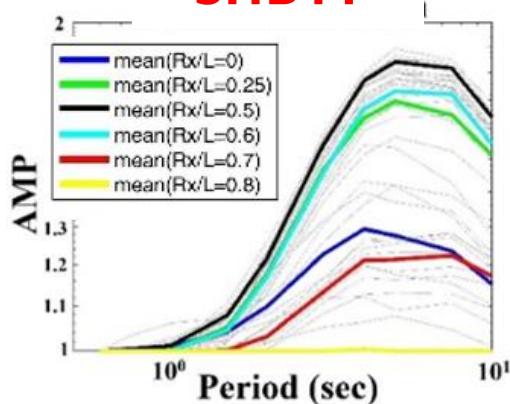
**SHB11**



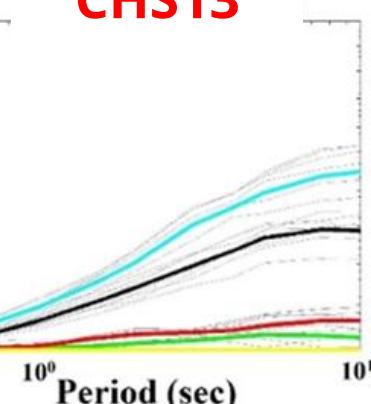
**CHS13**



**SHB11**



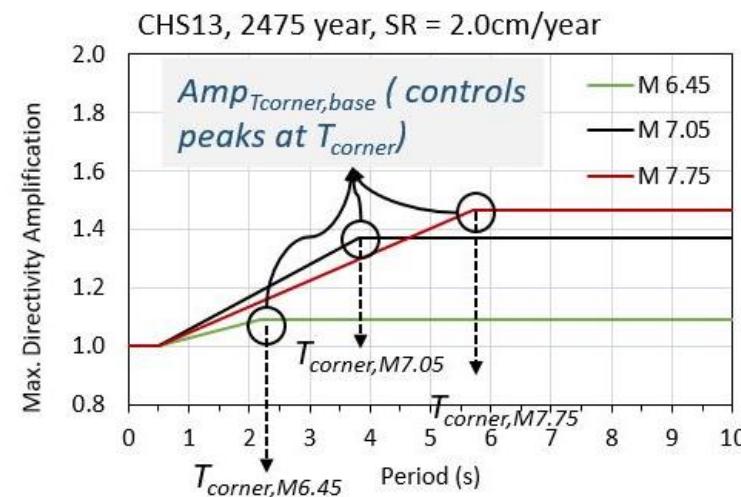
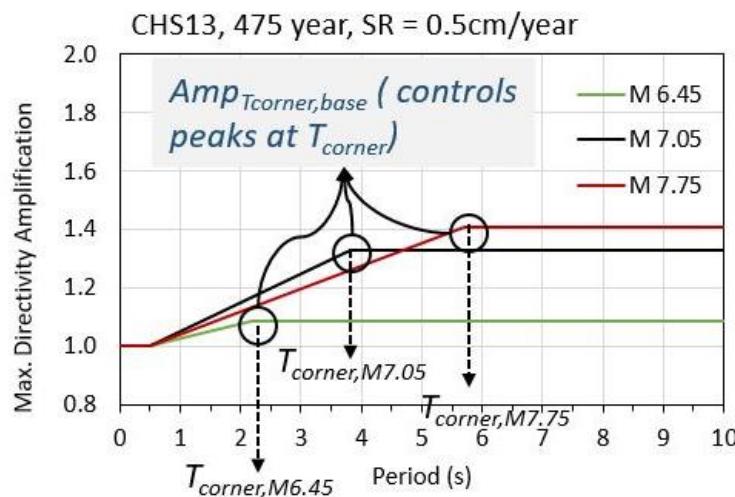
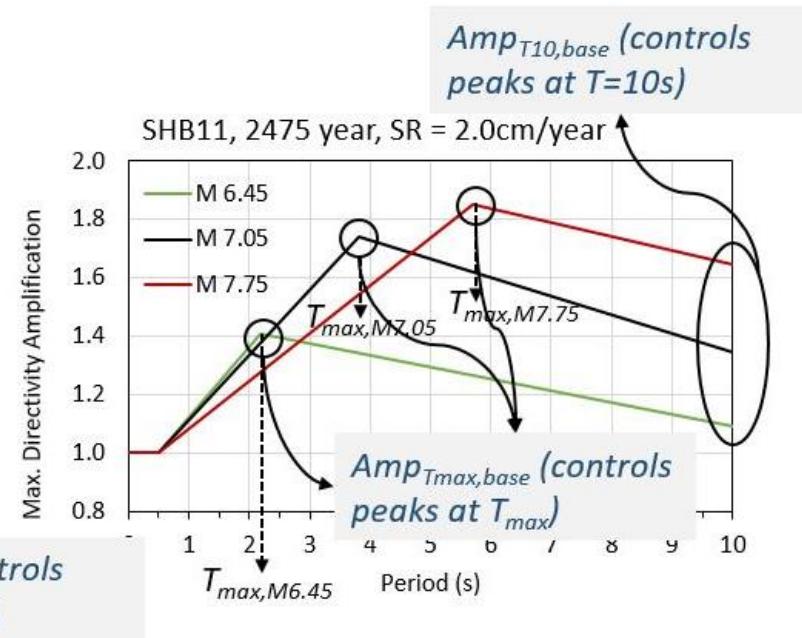
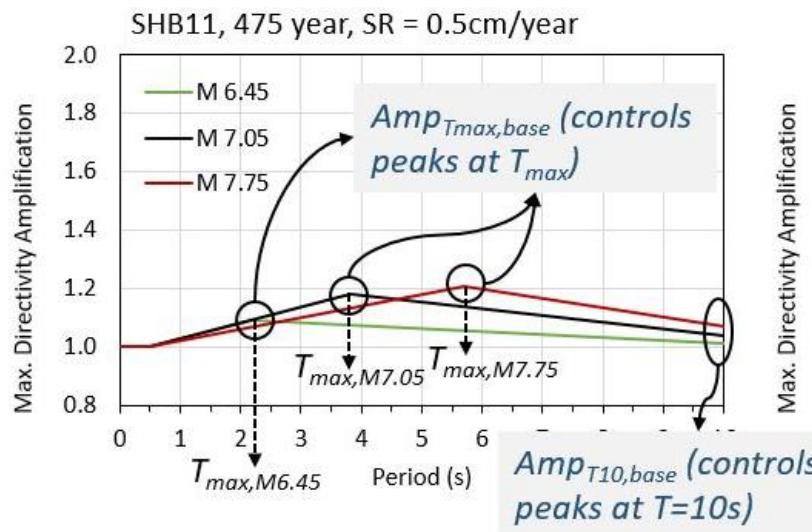
**CHS13**



Directivity amplifications at different distances perpendicular to fault strike ( $R_y = 0, 5, 10, 15, 20, 25, 30$  km). Thick colored lines are mean directivity amplifications for each  $R_y$

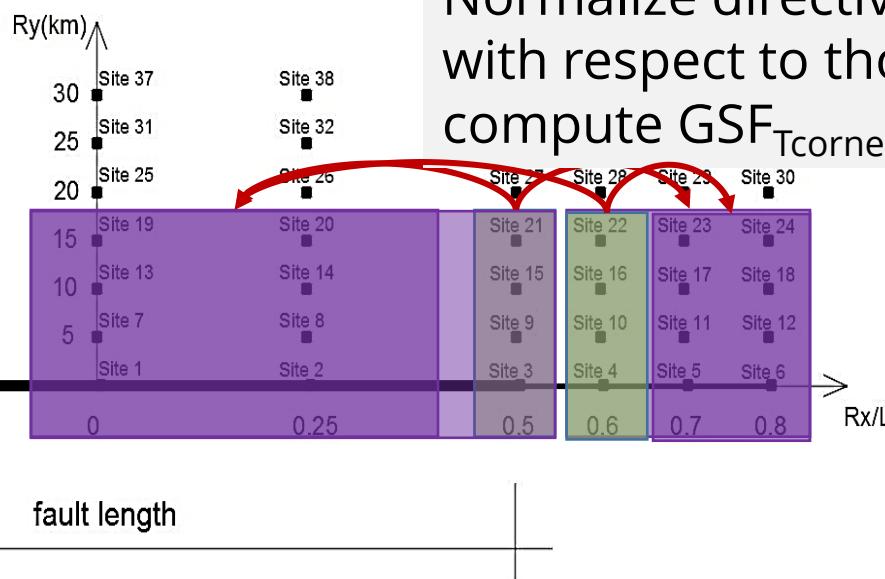
Directivity amplifications at different locations along the fault strike ( $R_x/L = 0, 0.25, 0.5, 0.6, 0.7, 0.8$ ). Thick colored lines are mean directivity amplifications for each  $R_x/L$ .

# Directivity Rules for Codes (1/3)



# Directivity Rules for Codes (2/3)

- Directivity amplifications at other sites (again for rupture distances up to 15 km) are computed from by modifying base functions ( $\text{Amp}_{T_{\text{max}}, \text{base}}$  and  $\text{Amp}_{T_{10}, \text{base}}$  for SHB11 and  $\text{Amp}_{T_{\text{corner}}, \text{base}}$  for CHS13). The modification factors are called as geometry scale factors:  $\text{GSF}_{T_{\text{max}}}$  and  $\text{GSF}_{T_{10}}$  for SHB11 and  $\text{GSF}_{T_{\text{corner}}}$  for CHS13.

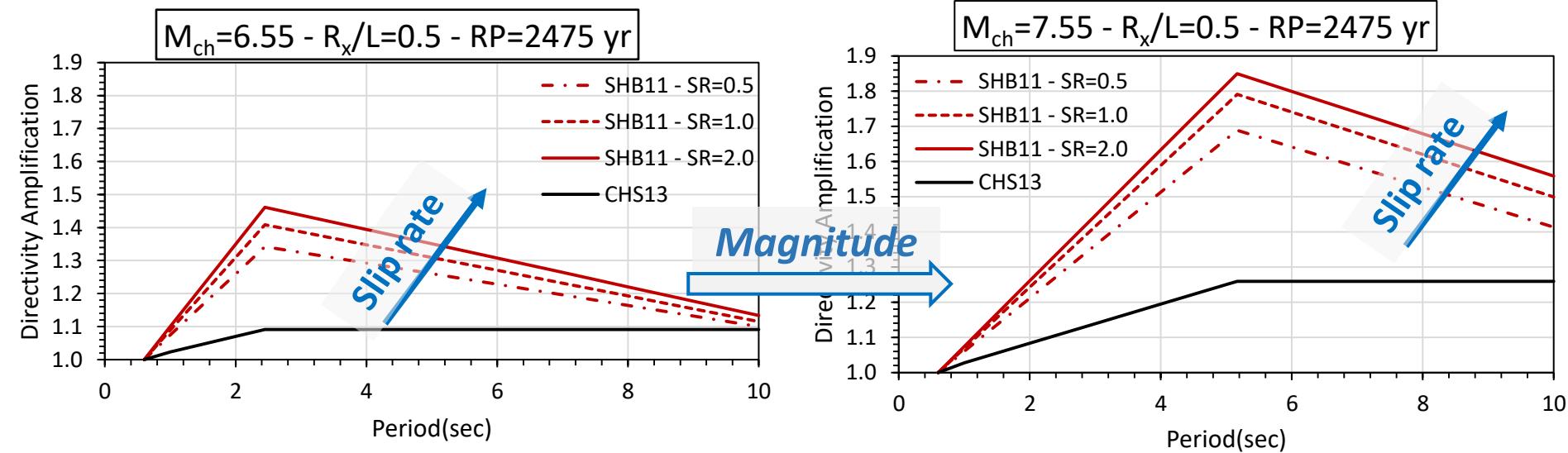


# Directivity Rules for Codes (3/3)

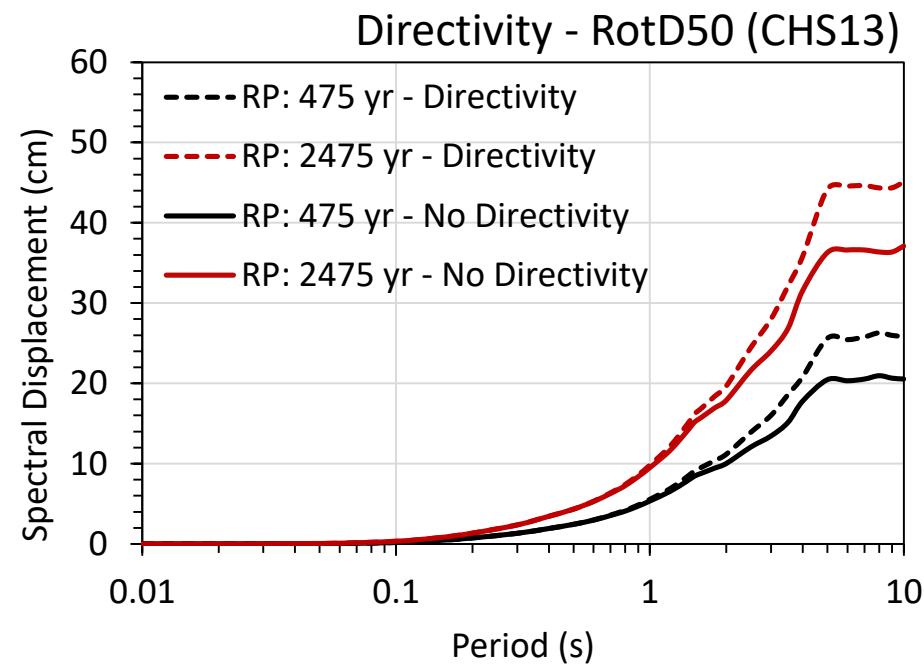
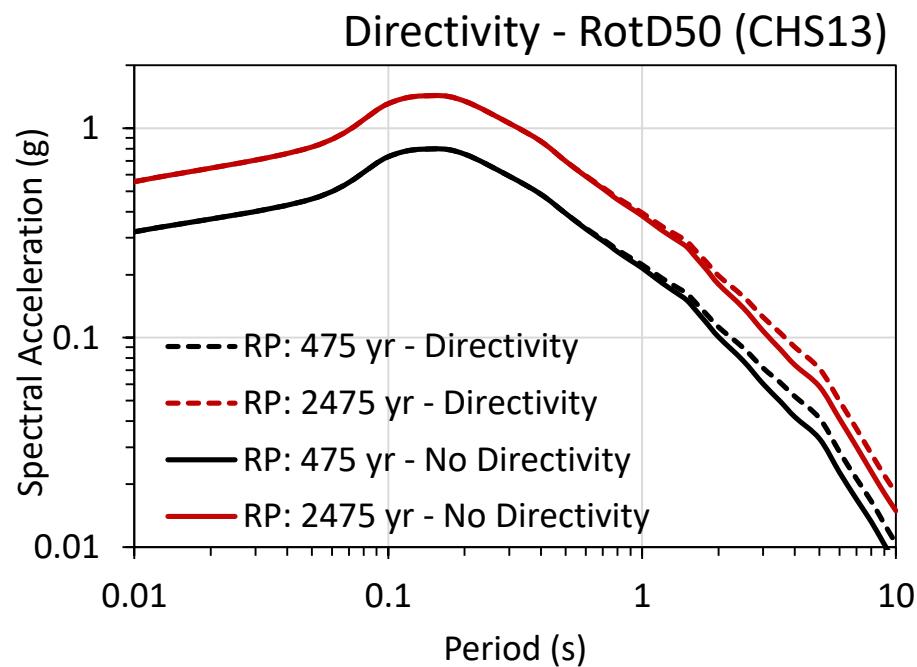
- Directivity amplifications are assumed to be invariant for distances up to  $R_y = 15\text{km}$ . They taper down to unity with a linear trend between  $15\text{km} < R_y \leq 30\text{km}$ .

$$\begin{aligned} AMP^{SHB11 \text{ or } CHS13}(T) &= AMP_{0\text{km} \leq R_{rup} \leq 15\text{km}}^{SHB11 \text{ or } CHS13}(T) \\ &+ \left[ (1 - AMP_{0\text{km} \leq R_{rup} \leq 15\text{km}}^{SHB11 \text{ or } CHS13}(T)) \cdot \left( \frac{R_{rup} - 15}{15} \right) \right] \end{aligned}$$

# Results. Impact of seismic period, slip rate, location along the fault



# Results: Case study



# Conclusions (1/2)

- Current codes do not have a clear answer to incorporate directivity effects to design spectrum. (mostly UBC approach). However, directivity effects are important in terms of ground motion demand.
- We considered two narrow-band directivity models (SHB11 and CHS13) to run several PSHA cases by to develop some expressions to account for directivity in establishing design spectrum.
- The expressions are sensitive to return period (475-year and 2475-year), slip rate, fault-site geometry and characteristic magnitude of the fault segment.
- CHS13 based model currently estimates directivity for RotD50 horizontal component whereas SHB11 based model estimates directivity for fault-normal component.

# Conclusions (2/2)

- There are significant differences between SHB11 and CHS13 in terms of estimated directivity amplitudes. Because their theoretical background are different.
- Independence of slip rate makes CHS13 model more practical for code implementation.
- Further studies are necessary to fully cover directivity effects that includes maximum direction and see how fault-normal and maximum direction components are related to each other in the fault vicinity. Such studies will make the SHB11 results even more viable.

**Thank you**